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11/26/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Number : 09/511,362 Confirmation No.: 4127  
Applicant : SULLIVAN  
Filed : February 23, 2000  
Title : MUTUAL FUND CARD METHOD AND SYSTEM  
TC/Art Unit : 3624  
Examiner: : A. L. BASHORE  
  
Docket No. : 47004.000067  
Customer No. : 21967

**REQUEST FOR RECONSIDERATION UNDER 37 C.F.R. § 1.111**

MAIL STOP: NON-FEE AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RECEIVED**  
OCT 28 2003  
**GROUP 3600**

Sir/Madam:

**I. Introductory Comments**

In response to the non-final Office Action issued on August 13, 2003 ("Office Action"), Applicant respectfully requests reconsideration on the basis of the amendment and remarks set forth herein. Applicant submits that the application is in condition for allowance, and respectfully requests same.

**Amendment to the claims** begins on page 2 of this paper.

**Amendment to the specification** begins on page 9 of this paper.

**Amendment to the drawings** begins on page 13 of this paper and include both an attached replacement sheet and an annotated sheet showing changes.

**Remarks/Argument** begin on page 14 of this paper.